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TRANSMITTAL FORM

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Total Number of Pages in This Submission

Application Number	10/811,670
Filing Date	March 29, 2004
First Named Inventor	Brian N. Tufte
Art Unit	unknown
Examiner Name	unknown
Attorney Docket Number	1076.1101110

IPW

ENCLOSURES (Check all that apply)		
<input type="checkbox"/> Fee Transmittal Form <input type="checkbox"/> Fee Attached <input type="checkbox"/> Amendment/Reply <input type="checkbox"/> After Final <input type="checkbox"/> Affidavits/declaration(s) <input type="checkbox"/> Extension of Time Request <input type="checkbox"/> Express Abandonment Request <input checked="" type="checkbox"/> Information Disclosure Statement <input type="checkbox"/> Certified Copy of Priority Document(s) <input type="checkbox"/> Reply to Missing Parts/ Incomplete Application <input type="checkbox"/> Reply to Missing Parts under 37 CFR 1.52 or 1.53	<input type="checkbox"/> Drawing(s) <input type="checkbox"/> Licensing-related Papers <input type="checkbox"/> Petition <input type="checkbox"/> Petition to Convert to a Provisional Application <input type="checkbox"/> Power of Attorney, Revocation <input type="checkbox"/> Change of Correspondence Address <input type="checkbox"/> Terminal Disclaimer <input type="checkbox"/> Request for Refund <input type="checkbox"/> CD, Number of CD(s) _____ <input type="checkbox"/> Landscape Table on CD	<input type="checkbox"/> After Allowance Communication to TC <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences <input type="checkbox"/> Appeal Communication to TC (Appeal Notice, Brief, Reply Brief) <input type="checkbox"/> Proprietary Information <input type="checkbox"/> Status Letter <input checked="" type="checkbox"/> Other Enclosure(s) (please Identify below):
Remarks Form PTO 1449 19 non-US patent references Return Postcard		
SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT		
Firm Name	Crompton Seager & Tufte	
Signature		
Printed name	Brian N. Tufte	
Date	October 13, 2004	Reg. No. 38,638

CERTIFICATE OF TRANSMISSION/MAILING

I hereby certify that this correspondence is being facsimile transmitted to the USPTO or deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the date shown below:

Signature			
Typed or printed name	Lynn Thompson	Date	October 13, 2004

This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Brian N. Tufte

Examiner: unknown

Serial No.: 10/811,670

Group Art Unit: unknown

Filed: March 29, 2004

Confirmation No.: unknown

For: LIGHTING APPARATUS

Docket No.: 1076.1101110

INFORMATION DISCLOSURE STATEMENT (37 C.F.R. §1.97(b))

Mail Stop Amendment
Assistant Commissioner for Patents
PO Box 1450
Alexandria, VA 22313-1450

CERTIFICATE UNDER 37 C.F.R. 1.8: I hereby certify that this correspondence is being deposited with the United States Postal Service on the date shown below with sufficient postage as first class mail in an envelope addressed to the: Commissioner for Patents, PO Box 1450, Alexandria, VA 22313-1450 on this 13th day of October, 2004.

By: _____

Brian N. Tufte

Dear Sir:

With regard to the above-identified application, the items of information listed on the enclosed Form 1449 are brought to the attention of the Examiner.

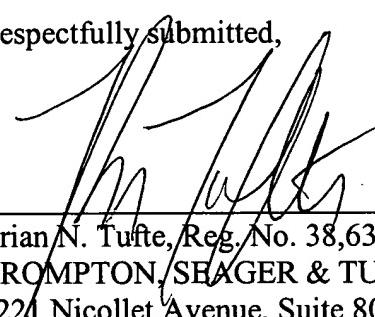
This statement should be considered because it is submitted before the mailing date of a first Office Action on-the-merits. Accordingly, no fee is due for consideration of the items listed on the enclosed Form 1449.

In accordance with 37 C.F. R. §1.98(a)(2), a copy of each non-US patent document or other information listed on the enclosed Form 1449 is provided.

No representation is intended to be made hereby that any of the cited references establishes, by itself or in combination with other information, a prima facie case of unpatentability of any claim of the present case.

Consideration of the items listed is respectfully requested. Pursuant to the provisions of M.P.E.P. 609, it is requested the Examiner return a copy of the attached Form 1449, marked as being considered and initialed by the Examiner, to the undersigned with the next official communication.

Respectfully submitted,



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Facsimile: (612) 359-9349

Dated: October 13, 2004

OCT 15 2004

Atty. Docket No.:
1076.1101110Serial No.:
10/811,670

**LIST OF PATENTS AND PUBLICATIONS FOR
APPLICANT'S INFORMATION
DISCLOSURE STATEMENT**

Applicant: Brian N. Tufte

Filing Date:

March 29, 2004

Group Art:

unknown

U.S. PATENT DOCUMENTS

Examiner Initial	Document No.	Date	Name	Class	Sub Class	Filing Date If Appropriate
	1,779,180	10/1930	Macrae et al			
	2,277,433	03/1942	E.F. Guth			
	2,300,067	09/1941	Schwab			
	2,339,085	01/1944	Luckiesh, Matthew			
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	2,930,885	03/1960	Ehrenfreund, Herbert A.			
	3,196,265	07/1965	Schneider			
	3,317,722	05/1967	F. L. Whitney			
	3,551,723	12/1970	Van Groningen			
	3,612,848	10/1971	Koch et al.			
	3,639,748	02/1972	Pearson et al.			
	3,675,527	07/1972	Reeder, Jr., George, H.			
	3,722,876	03/1973	Schwenk			
	3,885,144	05/1975	Lewis et al.			
	4,107,767	08/1978	Anquetin			
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	4,740,870	04/1988	Moore et al			
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1076.1101110Serial No.:
10/811,670
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Applicant: Brian N. Tufte

Filing Date:
March 29, 2004Group Art:
unknown

Examiner Initial	Document No.	Date	Name	Class	Sub Class	Filing Date If Appropriate
	4,964,760	10/1990	Hartman			
	5,023,762	06/1991	Tieszen			
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	5,268,824	12/1993	Czipri			
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	5,869,930	02/1999	Baumberg et al			
	5,871,269	02/1999	Fjaestad et al.			
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LIST OF PATENTS AND PUBLICATIONS FOR APPLICANT'S INFORMATION DISCLOSURE STATEMENT		Applicant: Brian N. Tufte	
		Filing Date: March 29, 2004	Group Art: unknown

Examiner Initial	Document No.	Date	Name	Class	Sub Class	Filing Date If Appropriate
	5,917,288	06/1999	Feldman et al.			
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	6,065,852	05/2000	Crumley			
	6,074,071	06/2000	Baumberg et al			
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	6,270,229	08/2001	Chien, Tseng-Lu			
	6,278,827	08/2001	Sugiyama et al.			
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	6,461,028	10/2002	Huang			
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	6,733,161	05/2004	Tufte			
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	Light Tech LLC, "Decorative Cable Lights," brochure, copyright 1998.
	Flex Glo Wire, Inc., "Flex Glo Wire Specifications," October 15, 1998.
	<u>Selected pages from http://www.aqualuce.com/montkaj.html</u> , 2 pages, downloaded June 3, 2000.
	"Eagle Mouldings Specialty Trim & Extrusions," brochure, 6 pages, prior to August 11, 1999.
	Lumenyte International Corporation, "Cove Lighting Applications," 1 page, copyright, March 19, 1998.

FORM PTO-1449**Page 4 of 4**Atty. Docket No.:
1076.1101110Serial No.:
10/811,670

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Applicant: Brian N. Tufte

Filing Date:
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	Lumenyte International Corporation, "Sta-Flex SFR™ 510 Linear Fiber," 2 pages, copyright March 19, 1998.
	Lumenyte International Corporation, "Sta-Flex SFR™ 410M Linear Emitting Fiber," 2 pages, copyright March 6, 2001.
	3M Marine Products, http://www.3m.com/US/auto_marine_aero/marine/catalog/index.jhtml , 1 page, downloaded February 11, 2002.
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	3M United States, http://www.3m.com/about3M/technologies/lightfiber , 30 pages, downloaded February 11, 2002.
	http://www.elam.co.il , 24 pages, downloaded February 11, 2002.
	U.S. Patent Application No. 10/074,162, filed February 12, 2002, entitled, "Lighting Apparatus."
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	U.S. Patent Application No. 10/075,488, filed February 12, 2002, entitled, "Lighting Apparatus."
	U.S. Patent Application No. 10/075,489, filed February 12, 2002, entitled, "Lighting Apparatus."
	U.S. Patent Application No. 10/147,097, filed May 13, 2002, entitled, "Lighting Apparatus."

EXAMINER:

DATE CONSIDERED:

EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP 609; draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.